

## Chapter 2 Automata, Grammars, and Formal Languages

### 2-1 Finite-state Automata and Sequential Logic Circuits

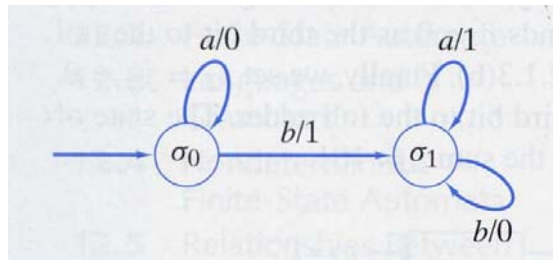
**Transition diagram (or State diagram):** It describes the relation of inputs/outputs and the transitions between the states.

TABLE

		$f$		$g$	
		$a$	$b$	$a$	$b$
$S$	$\sigma_0$	$\sigma_0$	$\sigma_1$	0	1
	$\sigma_1$	$\sigma_1$	$\sigma_1$	1	0

Eg. According to the left table, draw the corresponding transition diagram.

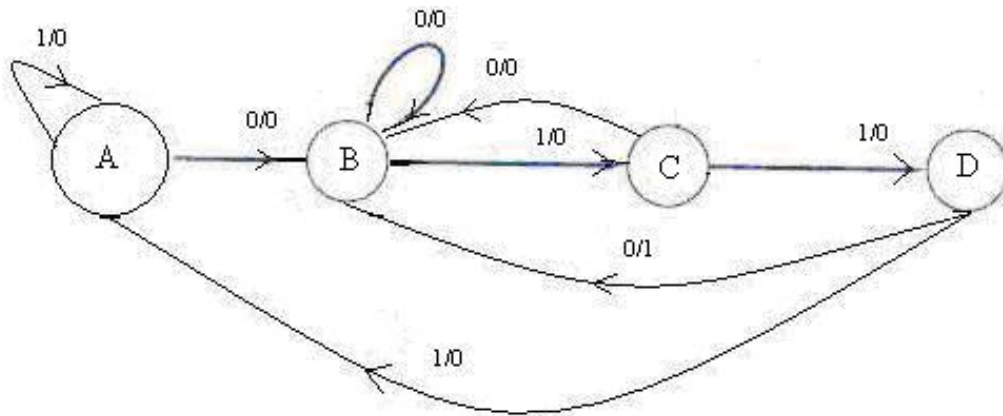
(Sol.)



**Finite-state machine,  $M$ :** It consists of a set  $I$  of input symbols, a set  $O$  of output symbols, a finite set  $S$  of states, a next-state function  $f$ , an output function  $g$ , and an initial state  $\sigma$ .

Eg. Draw the transition diagram of the finite-state machine which accepts a serial 0110 contained in a long string over  $[0, 1]$ .

(Sol.)



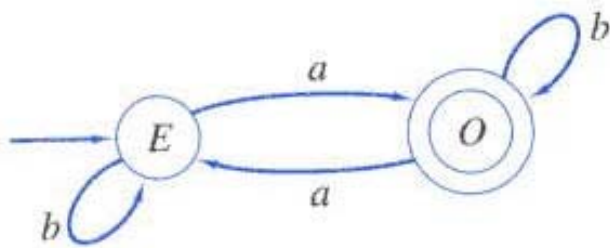
**Finite-state automaton,  $A$ :** It is a finite-state machine in which the set of output function is  $\{0,1\}$  and the current state determine the last output. Those states for which the last output was 1 are called **accepting states**. Let  $\alpha = x_1x_2x_3 \dots x_n$  be a string, If there exist states  $\sigma_0, \sigma_1, \sigma_2, \sigma_3, \dots, \sigma_n$  satisfying (a)  $\sigma_0 = \sigma$ , (b)  $f(\sigma_{i-1}, x_i) = \sigma_i$  for  $i=1, \dots, n$ , (c)  $\sigma_n \in$  the set of the accepting states, then  $\alpha$  is accepted by the finite automaton.

**Eg. The transition diagrams of a finite-state machine and its finite-state automaton.**



**Eg. Draw the transition diagram of the finite-state automaton which accepts a string over  $[a,b]$  that contain an odd number of  $a$ 's.**

(Sol.)

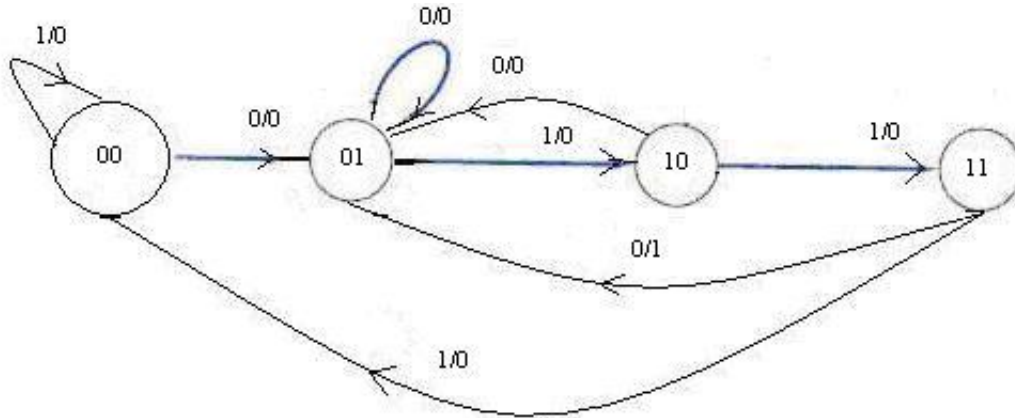


## Application of finite-state machines and finite-state automata: Designing sequential logic circuits

Eg. Use *J-K* Flip-flops and other logic gates to design a digital circuit that accepts a serial 0110 contained in a long string over {0, 1}.

(Sol.)

Encoding the transition diagram:



Excitation table of *J-K* Flip-flop:

$Q(t)$	$Q(t+\tau)$	$J$	$K$
0	0	0	$d$
0	1	1	$d$
1	0	$d$	1
1	1	$d$	0

According to the transition diagram and the excitation table of *J-K* flip-flop, we have the following truth table:

$A(t)$	$B(t)$	$x$	$A(t+\tau)$	$B(t+\tau)$	$y$	$J_A$	$K_A$	$J_B$	$K_B$
0	0	0	0	1	0	0	$d$	1	$d$
0	0	1	0	0	0	0	$d$	0	$d$
0	1	0	0	1	0	0	$d$	$d$	0
0	1	1	1	0	0	1	$d$	$d$	1
1	0	0	0	1	0	$d$	1	1	$d$
1	0	1	1	1	0	$d$	0	1	$d$
1	1	0	0	1	1	$d$	1	$d$	0
1	1	1	0	0	0	$d$	1	$d$	1

Let  $A=Q_A$ ,  $B=Q_B$  for the two *J-K* flip-flops, and then we can obtain the relation of  $J_A$ ,  $K_A$ ,  $Q_A$ ,  $J_B$ ,  $K_B$ ,  $Q_B$  and  $A$ ,  $B$ ,  $x$ , and  $y$  by the Karnaugh map:

	$\overline{A}\overline{B}$	$A\overline{B}$	$AB$	$\overline{A}B$
$x$		$d$	$d$	$1$
$\overline{x}$		$d$	$d$	

$$J_A = Bx$$

	$\overline{A}\overline{B}$	$A\overline{B}$	$AB$	$\overline{A}B$
$x$	$d$		$1$	$d$
$\overline{x}$	$d$	$1$	$1$	$d$

$$K_A = \overline{x} + B$$

	$\overline{A}\overline{B}$	$A\overline{B}$	$AB$	$\overline{A}B$
$x$		$1$	$d$	$d$
$\overline{x}$	$1$	$1$	$d$	$d$

$$J_B = \overline{x} + A$$

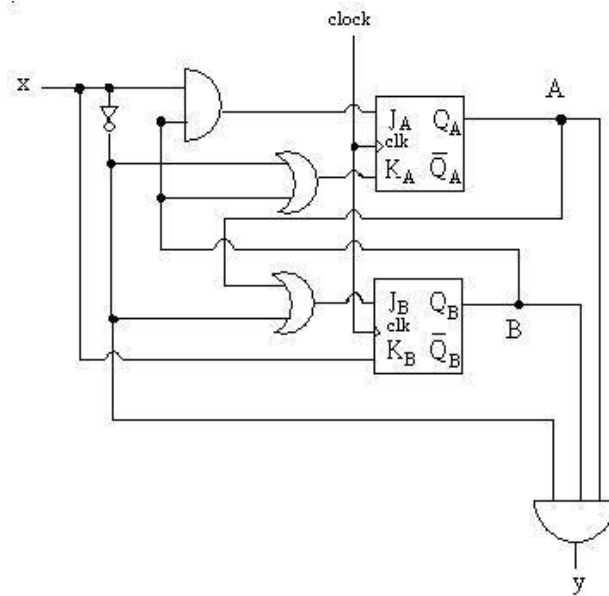
	$\overline{A}\overline{B}$	$A\overline{B}$	$AB$	$\overline{A}B$
$x$	$d$	$d$	$1$	$1$
$\overline{x}$	$d$	$d$		

$$K_B = x$$

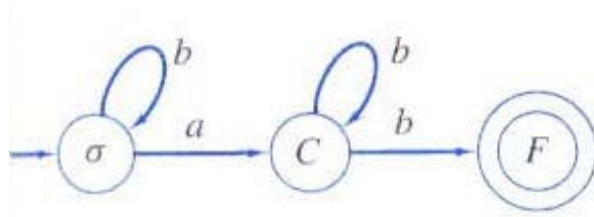
	$\overline{A}\overline{B}$	$A\overline{B}$	$AB$	$\overline{A}B$
$x$				
$\overline{x}$			$1$	

$$y = AB\overline{x}$$

The sequential logic circuit is as shown as in the following diagram:



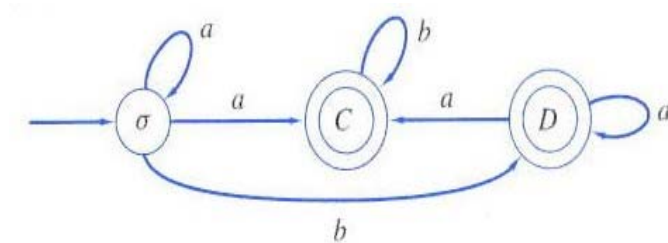
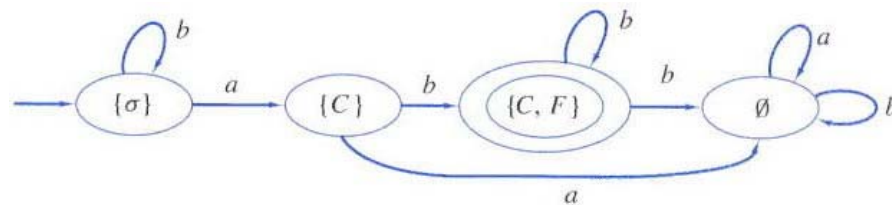
**Nondeterministic finite-state automaton:** It is a finite-state automaton consisting of a set  $I$  of input symbols, a finite  $S$  of states, a subset  $A$  of  $S$  of accepting states, a next-state function  $f$ , and an initial state  $\sigma$ .



**Eg. The left finite-state automaton is a nondeterministic finite-state automaton. Vertex  $C$  has no outgoing edge labeled  $a$ , and it has 2 outgoing edges labeled  $b$ . In state  $C$ , if  $b$  is input, we have 2 choices of next states. It can remain in state  $C$  or go to state  $F$ . On the other hand, vertex  $F$  has no outgoing edges at all. In state  $F$ , null string is input and is accepted by the nondeterministic finite-state automaton.**

**This nondeterministic finite-state automaton is equivalent to a finite-state automaton as shown in the follow figure.**

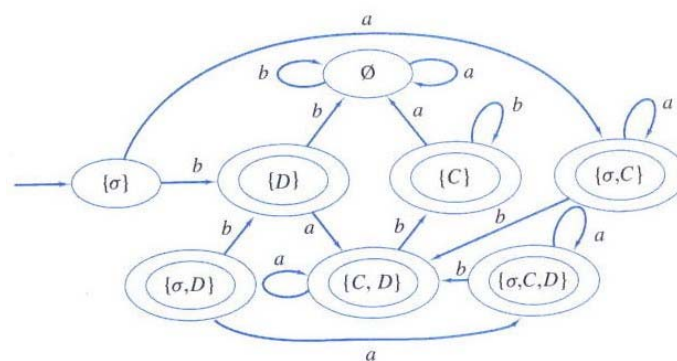
**This nondeterministic finite-state automaton is equivalent to a finite-state automaton as shown in the follow figure.**



**Eg. Vertex  $D$  of the left nondeterministic finite-state automaton has 2 outgoing edges labeled  $a$ . In state  $F$ , if  $a$  is input, we have 2 choices of next states. It can remain in state  $D$  or go to state  $C$ .**

**This nondeterministic finite-state automaton is equivalent to a finite-state automaton as shown in the follow figure.**

**This nondeterministic finite-state automaton is equivalent to a finite-state automaton as shown in the follow figure.**



## 2-2 Formal Languages and Grammars

**Formal language:** Let  $A$  be a finite set. A formal language  $L$  over  $A$  is a subset of  $A^*$ , the set of all strings over  $A$ .

Eg. Let  $A=\{a,b,c\}$ , then  $cbabb, aab, abcab, bcba, cb, \dots$ , are all formal languages.

Eg. Let  $A=\{\text{狗,咬,人}\}$ , then “狗咬人” and “人咬狗” are both formal languages.

Eg. Let  $A=\{\text{women, like, men}\}$ , then “women like men” and “men like women” are both formal languages.

**Grammar  $G$ :** It consists of a finite set  $N$  of non-terminal symbols, a finite set  $T$  of terminal symbols (Note:  $N \cap T = \emptyset$ ), a starting symbol  $\sigma$ , and a finite set  $P$  of productions  $[(N \cup T)^* \cdot T^*] \times (N \cup T)^*$ .

Eg. The grammar  $G=(N, T, P, \sigma)$  is defined by  $N=\{\sigma, S\}$ ,  $T=\{a,b\}$ ,  $P=\{\sigma \rightarrow b\sigma, \sigma \rightarrow aS, S \rightarrow bS, S \rightarrow b\}$ . Show that  $bbbabb$  is in agreement with the grammar  $G$ , but  $aab$  is not in agreement with the grammar  $G$ .

(Proof)  $\sigma \Rightarrow b\sigma \Rightarrow bb\sigma \Rightarrow bbb\sigma \Rightarrow bbbaS \Rightarrow bbbabS \Rightarrow bbbabb$ ,  $\therefore bbbabb$  is in agreement with the grammar  $G$ .

$\sigma \Rightarrow aS \Rightarrow abS$  or  $ab$ ,  $\therefore aab$  is **not in agreement with** the grammar  $G$ .

Eg. The grammar  $G=(N, T, P, \sigma)$  is defined by  $N=\{\sigma, A, B\}$ ,  $T=\{\text{狗,咬,人}\}$ ,  $P=\{\sigma \rightarrow \text{狗 } A, \sigma \rightarrow \text{人 } A, A \rightarrow \text{咬 } B, B \rightarrow \text{狗}, B \rightarrow \text{人}\}$ . Show that “狗咬人” is in agreement with the grammar, but “狗人咬” is not in agreement with the grammar.

(Proof)  $\sigma \Rightarrow \text{狗 } A \Rightarrow \text{狗咬 } B \Rightarrow \text{狗咬人}$

$\therefore$  “狗咬人” is in agreement with the grammar  $G$ .

$\sigma \Rightarrow \text{狗 } A \Rightarrow \text{狗咬 } B$ ,  $\therefore$  “狗人咬” is **not in agreement with** the grammar  $G$ .

Eg. The grammar  $G=(N, T, P, \sigma)$  is defined by  $N=\{\sigma, \text{women, like, men, } A, B\}$ ,  $T=\{.\}$ ,  $P=\{\sigma \rightarrow \text{women } A, \sigma \rightarrow \text{men } A, A \rightarrow \text{like } B, B \rightarrow \text{women.}, B \rightarrow \text{men.}\}$ . Show that “women like men.” is in agreement with the grammar, but “women men like.” is not in agreement with the grammar.

(Proof)  $\sigma \Rightarrow \text{women } A \Rightarrow \text{women like } B \Rightarrow \text{women like men.}$

$\therefore$  “women like men.” is in agreement with the grammar  $G$ .

$\sigma \Rightarrow \text{women } A \Rightarrow \text{women like } B$ ,  $\therefore$  “women men like.” is **not in agreement with** the grammar  $G$ .

**Context-sensitive grammar:** Its production is of the form  $\alpha A \beta \rightarrow \alpha \delta \beta$ , where  $\alpha, \beta \in (N \cup T)^*$ ,  $A \in N$ ,  $\delta \in (N \cup T)^* - \{\lambda\}$ .

Eg. The grammar  $G=(N, T, P, \sigma)$  is defined by  $N=\{\sigma, A, B, C, D, E\}$ ,  $T=\{a, b, c\}$ ,  $P=\{\sigma \rightarrow aAB, \sigma \rightarrow aB, A \rightarrow aAC, A \rightarrow aC, B \rightarrow Dc, D \rightarrow b, CD \rightarrow CE, CE \rightarrow DE, DE \rightarrow DC, Cc \rightarrow Dcc\}$ .  $\therefore CD \rightarrow CE \rightarrow DE \rightarrow DC$ ,

$\therefore \sigma \Rightarrow aAB \Rightarrow aaACB \Rightarrow aaaaACCB \Rightarrow aaaaaACCCDc \Rightarrow aaaaaACCCDc \Rightarrow \dots$   
 $\Rightarrow a^{n-1}AC^{n-2}Dc \Rightarrow a^{n-1}aC^{n-1}Dc \Rightarrow a^nDC^{n-1}c \Rightarrow a^nDC^{n-2}Cc \Rightarrow a^nDC^{n-2}Dcc$   
 $\Rightarrow a^nD^2C^{n-2}cc \Rightarrow a^nD^2C^{n-3}Ccc \Rightarrow a^nD^2C^{n-3}Dccc \Rightarrow a^nD^3C^{n-3}ccc \Rightarrow a^nD^3C^{n-4}Cccc$   
 $\Rightarrow \dots \Rightarrow a^nD^{n-1}Ccc^{n-2} \Rightarrow a^nD^{n-1}Dccc^{n-2} \Rightarrow a^nD^n c^n \Rightarrow a^n b^n c^n$ , and  
 $L(G)=\{a^n b^n c^n | n=1,2, \dots\}$  is a context-sensitive language.

**Context-free grammar:** Its production is of the form  $A \rightarrow \delta$ , where  $A \in N$ ,  $\delta \in (N \cup T)^*$ .

Eg. The grammar  $G=(N, T, P, \sigma)$  is defined by  $N=\{\sigma\}$ ,  $T=\{a, b\}$ ,  $P=\{\sigma \rightarrow a\sigma b, \sigma \rightarrow ab\}$ .  $\sigma \Rightarrow a\sigma b \Rightarrow aa\sigma bb \Rightarrow aaa\sigma bbb \Rightarrow \dots \Rightarrow a^{n-1}\sigma b^{n-1} \Rightarrow a^{n-1}abb^{n-1} \Rightarrow a^n b^n$ , and  
 $L(G)=\{a^n b^n | n=1,2, \dots\}$  is a context-free language.

**Regular grammar:** Its production is of the form  $A \rightarrow a$  or  $A \rightarrow aB$  or  $A \rightarrow \lambda$ , where  $A, B \in N$ ,  $a \in T$ .

Eg. The grammar  $G=(N, T, P, \sigma)$  is defined by  $N=\{\sigma, S\}$ ,  $T=\{a, b\}$ ,  $P=\{\sigma \rightarrow b\sigma, \sigma \rightarrow aS, S \rightarrow bS, S \rightarrow b\}$ .  $\sigma \Rightarrow b\sigma \Rightarrow bb\sigma \Rightarrow bbb\sigma \Rightarrow \dots \Rightarrow b^n\sigma \Rightarrow b^n aS \Rightarrow b^n abS \Rightarrow b^n abbS$   
 $\Rightarrow b^n abbbS \Rightarrow \dots \Rightarrow b^n ab^m$ ,  $L(G)=\{b^n ab^m | n, m=1,2, \dots\}$  is a regular language.

**Backus-Naur form (BNF):** Rewrite the forms such as  $S \rightarrow T$  into  $S ::= T$ , and  $S \rightarrow T, S \rightarrow U, S \rightarrow V, S \rightarrow W$  into  $S ::= T|U|V|W$ .

Eg. Determine whether or not -901 is an integer by the following (BNF) grammar generates all decimal integers.

<starting symbol> ::= <integer>  
 <digit> ::= 0|1|2|3|4|5|6|7|8|9  
 <integer> ::= <signed integer> | <unsigned integer>  
 <signed integer> ::= +<unsigned integer> | -<unsigned integer>  
 <unsigned integer> ::= <digit> | <digit><unsigned integer>

(Sol.)

<integer> ::= <signed integer> ::= -<unsigned integer>  
 ::= -<digit><unsigned integer> ::= -9<unsigned integer>  
 ::= -9<digit><unsigned integer> ::= -90<unsigned integer> ::= -90<digit> ::= -901  
 $\therefore$  -901 is an integer.

**Application of Grammars: Generating fractal curves to model the growth of plants**

**Fractal curves:** A part of the whole curve resembles the whole.

Eg. Let  $d$  be a command to draw a straight line of a fixed length in the current direction, and  $+$  be a command to turn right by  $60^\circ$ , and  $-$  be a command to turn left by  $60^\circ$ . The context-free grammar  $G=(N, T, P, D)$  is defined by  $N=\{D\}$ ,  $T=\{d,+, -\}$ ,  $P=\{D \rightarrow D-D++D-D, D \rightarrow d, + \rightarrow +, - \rightarrow -\}$ . Generate the curve by the grammar.

(Sol.)  $D \Rightarrow D-D++D-D \Rightarrow d-d++d-d \in L(G)$ .

1<sup>o</sup>:



The string  $d-d++d-d$  is interpreted as the first-order von Koch snowflake as shown as in the left figure.

2<sup>o</sup>:

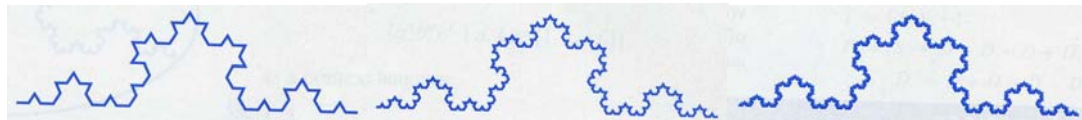
$D \Rightarrow D-D++D-D \Rightarrow D-D++D-D-D-D++D-D++D-D++D-D-D-D++D-D$

$\Rightarrow d-d++d-d-d-d++d-d++d-d++d-d-d-d++d-d$

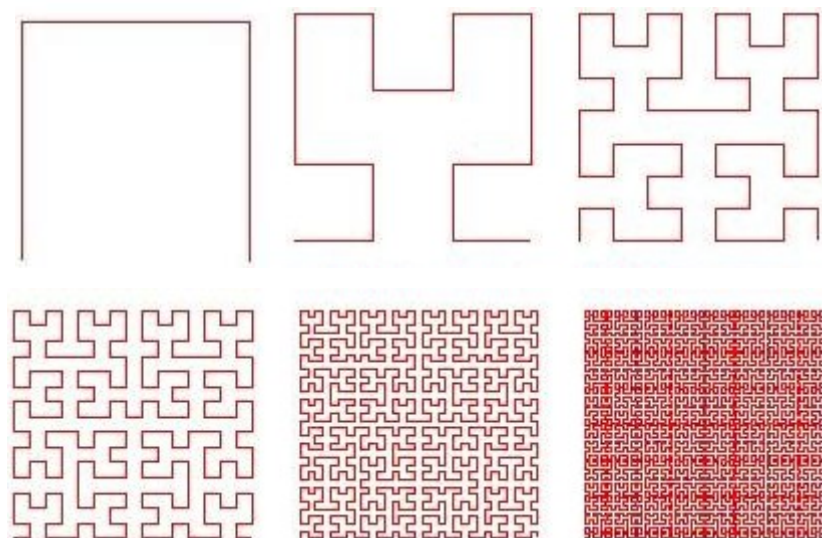


The string  $d-d++d-d-d-d++d-d++d-d++d-d-d-d++d-d$  is interpreted as the second-order von Koch snowflake as shown as in the left figure.

The other higher- (3<sup>rd</sup>-, 4<sup>th</sup>-, and 5<sup>th</sup>-) order of von Koch snowflakes are as shown as in the following figures.



Eg. Some examples of the Hilbert curves can be generated by a special grammar.

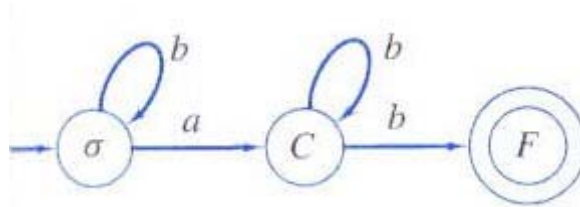




### Relation of finite automata and grammars

Eg. Draw the corresponding finite automaton or the nondeterministic finite automaton of the grammar  $G=(N, T, P, \sigma)$  is defined by  $N=\{\sigma, C\}$ ,  $T=\{a, b\}$ ,  $P=\{\sigma \rightarrow b\sigma, \sigma \rightarrow aC, C \rightarrow bC, C \rightarrow b\}$ .

(Sol.)

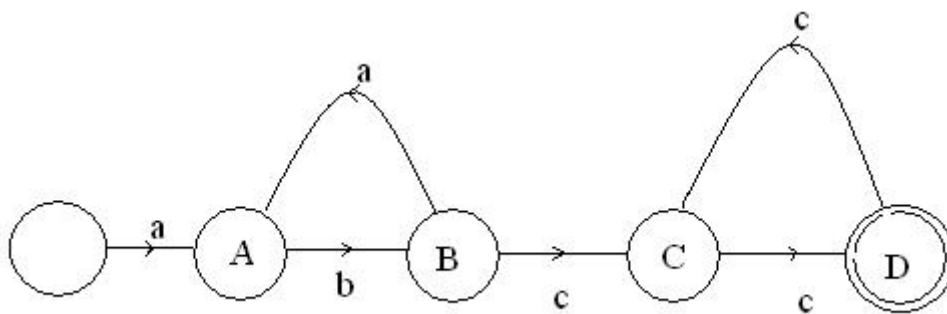


It can accept the strings over  $[a, b]$  containing precisely one  $a$  and ending with  $b$ , like  $b^n a b^m$ ,  $n \geq 0, m \geq 1$ .

### Construct a grammar

Eg. Give a grammar that specifies the language  $\{(ab)^k c^{2j} \mid k, j \geq 1\}$ . [交大資工所]

(Sol.) According to the above description, we draw a nondeterministic finite-state automaton as shown in the following figure.



And then we have  $G=(N, T, P, \sigma)$  that is defined by  $N=\{\sigma, a, b\}$ ,  $T=\{c\}$ ,  $P=\{\sigma \rightarrow aA, A \rightarrow bB, B \rightarrow aA \mid cC, C \rightarrow cD, D \rightarrow cC \mid \varphi\}$ .

Eg. Describe the language  $(\{A, B, S\}, \{a, b, c\}, S, \{S \rightarrow Sa \mid AB, A \rightarrow aA \mid a, B \rightarrow b \mid cS\})$  [交大資工所]